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DEPOSITORY

(Announcement of Availability of Grants for
National Demonstrations of Adolescent Family Life Programs)

AGENCY: Office of Adolescent Pregnancy Programs, PHS, HHS.

ACTION: Notice.

SUMMARY: This is to announce the availability of grant funds for National Demonstrations of Adolescent Family Life Programs. These grants are for demonstration projects which test new approaches to providing care services for pregnant adolescents and adolescent parents, prevention services to reach adolescents before they become sexually active, or a combination of care and prevention services as authorized by Title XX of the Public Health Service Act (42 U.S.C. 300z, et seq.). The demonstration projects must be national in scope, include a number of sites or test areas in different States and Regions and permit systematic comparisons.

ADDRESS: Application kits may be obtained from and applications must be submitted to: Grants Management Office, Office of Adolescent Pregnancy Programs, OPA, Room 1351, HHS North Building, 330 Independence Avenue, S.W. Washington, D.C. 20201.

DATE: Applications must be postmarked or received at the above address no later than July 10, 1984.

FOR FURTHER INFORMATION CONTACT: Donald Underwood, Grants Management Officer, at (202) 245-0146, or Barbara Rosengard, Program Development Specialist, at (202) 245-7473. They are available to answer questions and provide limited technical assistance in the preparation of grant applications.

SUPPLEMENTAL INFORMATION: Title XX of the Public Health Service Act, 42 U.S.C. 300z, et seq. authorizes the Secretary of Health and Human Services to award grants for demonstration projects to provide services to pregnant and nonpregnant adolescents, adolescent parents and their families. (Catalog of Federal Domestic Assistance Number 13.995). This notice announces the availability of approximately \$1.2 million in funding for national demonstration projects for care, prevention or a combination of care and prevention services. It is anticipated that 3-5 projects can be funded, ranging between \$225,000 and \$460,000. Grants may be awarded for project periods of up to 5 years but funded in annual increments.

Statutory Background

Title XX authorizes grants for three types of demonstration projects: (1) Projects which provide "care services" only (i.e. services for the provision of care to pregnant adolescents and adolescent parents); (2) projects which provide "prevention services" only (i.e., services to prevent adolescent sexual relations), and (3) projects which provide a combination of care and prevention services. The specific services (termed "necessary services") which may be funded under Title XX are the following:

- (1) Pregnancy testing and maternity counseling;
- (2) Adoption counseling and referral services which present adoption as an option for pregnant adolescents, including referral to licensed adoption agencies in the community if the eligible grant recipient is not a licensed adoption agency;
- (3) Primary and preventive health services including prenatal and postnatal care;
- (4) Nutrition information and counseling;
- (5) Referral for screening and treatment of venereal disease;
- (6) Referral to appropriate pediatric care;
- (7) Educational services relating to family life and problems associated with adolescent premarital sexual relations, including:
 - (a) Information about adoption;
 - (b) Education on the responsibilities of sexuality and parenting;
 - (c) The development of material to support the role of parents as the provider of sex education; and
 - (d) Assistance to parents, schools, youth agencies, and health providers to educate adolescents and preadolescents concerning self-discipline and responsibility in human sexuality;
- (8) Appropriate educational and vocational services and referral to such services;
- (9) Referral to licensed residential care or maternity home services;
- (10) Mental health services and referral to mental health services and to other appropriate physical health services;
- (11) Child care sufficient to enable the adolescent parent to continue education or to enter into employment;
- (12) Consumer education and homemaking
- (13) Counseling for the immediate and extended family members of the eligible person;
- (14) Transportation;
- (15) Outreach services to families of adolescents to discourage sexual relations among unemancipated minors; (and)
- () F i v e a l i g s e r v i c e s * * * (S e c . 2002(a)(4)) *

(6), and (7) above are core services. In addition, the referrals described by subparagraphs (8) and (10) are also core services. The services described in subparagraph (16) are core services when suitable and appropriate family planning services are not otherwise available in the community.

This announcement seeks applications from organizations which can develop and implement a national demonstration in a number of sites throughout the country. These demonstrations will systematically test a model or models in a number of sites or test areas in different States and regions. Projects should use field experiments, quasi-experimental or experimental designs, or other innovative research methods in order to determine program effects, both short- and long-term in client populations.

As the Adolescent Family Life program is a demonstration program, the OAPP is interested in testing a variety of ways to deliver services and various combinations of services in order to achieve the objectives of the legislation. In order to complement existing models, the OAPP encourages the submission of applications from volunteer organizations, i.e., those organizations that provide services primarily by volunteers rather than by paid staff. The Office also encourages applications from organizations, volunteer or otherwise, that provide alternate living arrangements, such as maternity homes, family settings for an individual or a small group or other types of temporary shelters for pregnant adolescents and/or adolescent parents and their children. Other innovative proposals which test methods of service delivery or specialized services are also welcome.

Eligible Applicants

Any public or private nonprofit organization or agency is eligible to apply for a grant if the organization or agency demonstrates "in the case of an organization which will provide care services, the capability of providing all core services in a single setting or the capability of creating a network through which all core services would be provided; or * * * in the case of an organization which will provide prevention services, the capability of providing such services" section 2002(a)(3)).

Application Requirements

Applications must be submitted on the forms supplied in the application kits available from the Office of Adolescent Pregnancy Programs (OAPP). Applicants are required to submit an application signed by an individual authorized to act for the applicant agency or organization and to assume for the organization the

Applicants may submit proposals that provide for demonstrations of care services only, prevention services only, or a combination of care and prevention services. Applicants proposing to provide a combination of care and prevention services should submit budget requests that provide a clear delineation between funds allocated for prevention services and funds allocated for care services. Applications should include:

- (1) A description of the project objectives and a detailed explanation of how the program design will be suitable for testing the hypotheses chosen for examination.
- (2) A description of sites and organizations to be involved in the implementation of the demonstration program. Evidence should be provided of the organization's ability to conduct the demonstration project in the designated sites and to provide rigorous evaluation of the data.
- (3) An overall evaluation plan which tests the objectives of the demonstration project. This design should identify the critical issues to be examined; define the relationship among key variables; and propose relevant hypotheses, outcome measures, associated data requirements, comparison/control group recruitment, strategies, and statistical methods to be employed.

All projects must fulfill the applicable statutory requirements for care, prevention or combination projects.

Care Programs - Under the statute the purpose of care programs is: to establish innovative, comprehensive, and integrated approaches to the delivery of care services for pregnant adolescents, with primary emphasis on unmarried adolescents who are seventeen years of age or under, and for adolescent parents, which shall be based upon an assessment of existing programs and, where appropriate, upon efforts to establish better coordination, integration, and linkages among such existing programs in order to

- adolescents to obtain proper care and assist pregnant
 -- adolescent parents to become productive independent contri-
 nity life; and
- adolescents to understand and resolve the societal
 -- adolescent pregnancy.

Within the context of providing the required core plus necessary supplemental services, and developing evaluation strategies, applicants should pay particular

specify the services to be provided, the means of identifying clients' need for services, and the system for tracking clients for a period of at least two years following delivery.)

Prevention Programs

The purpose of prevention programs is to find an effective means, within the context of the family, of reaching adolescents before they become sexually active in order to maximize the guidance and support available to adolescents from parents and other family members, and to promote self-discipline and other prudent approaches to the problem of adolescent premarital sexual relations, including adolescent pregnancy.

In order to complement existing program models, OAPP will consider only those prevention programs which prepare parents to support and educate their children to postpone sexual activity. Only activities which clearly focus on helping parents teach postponement to their children will be funded.

Evaluation

Section 2006(b)(1) requires each grantee to expend at least one percent but not more than 5 percent of the funds received under Title XX on evaluation of the project. While the statute allows waiver of the 5% limit on evaluation (see Section 2006(b)(1)), waivers are rarely granted. Therefore, applicants who anticipate evaluation costs in excess of the limit should exhaust all possible alternative sources of funds before considering requesting a waiver for an evaluation amount in excess of 5%. Applicants should provide a plan for meeting the evaluation requirement, describing in detail measures of program performance, data collection methods and a plan for analyzing the data. Applicants should provide evidence of consultation or other arrangements with a college or university located in the applicant's State.

Additional Requirements

In addition to the above, applicants for grants must meet the following requirements:

(1) Requirements for Review of an Application by the Governor.

Section 2006(e) of the Public Health Service Act requires that—each applicant shall provide the Governor of the State in which the applicant is located a copy of each application submitted to the Secretary for a grant for a demonstration project for services under this Title. The Governor shall

to provide comments to the applicant.

The applicant must provide a copy of the comments or verification that there were no comments to the above address by September 17, 1984.

(2) Review Under Executive Order 12372

Applications under this announcement are subject to the review requirements of Executive Order 12372, State Review of Applications for Federal Financial Assistance, as implemented by 45CFR100. As soon as possible, applicants should discuss their project/s with the State Single Point of Contact (SPOC) for each State in the area to be served. The application kit contains the currently available listing of the SPOCs which have elected to be informed of the submission of applications. For those States not represented on the listing, further inquiries should be made by the applicant regarding the submission to the relevant SPOC. The SPOCs comment/s should be forwarded to the Grants Management Office, Office of Population Affairs, Room 1351, HHS North Building, 330 Independence Avenue, S.W., Washington, D.C. 20201. Such comments must be received by the Office of Population Affairs by September 17, 1984 to be considered. In the event that an application is submitted to the Office of Population Affairs without notification to the SPOC, the SPOC will be notified of the submission.

(3) Health Systems Agency (HSA) Review.

In order to comply with the HSA review requirements under section 1513(e) of the Public Health Service Act, 42 U.S.C. 3001-2(e), as amended, applicants must contact the HSA responsible for the area to be served by the proposed project to determine whether or not the HSA desires to review the application. If so, a copy of the application must be submitted to the HSA for review no later than July 10, 1984. Applicants are advised to contact the local HSA as soon as a decision is made to apply for a grant for detailed information on meeting this review requirement.

Application Consideration and Assessment

- Judged to be late or which do not conform to the announcement will not be accepted for review. and the applications will be returned.

following criteria:

1. The applicant's provision for the requirements set forth in Sec. 2006(a) of Title XX of the Public Health Service Act.
2. The capacity of the proposed applicant organization and staff to provide the appropriate services and to evaluate the results.
3. The applicant's presentation of the project's objectives, the methods for achieving project objectives, the workplan and the results or benefits expected.
4. The applicant's documentation of the innovativeness of the program approach its worth for testing and replication, and its suitability to measurement and evaluation.
5. The estimated cost of the project to the government is reasonable considering the anticipated results.
6. The applicant's detailed evaluation plan indicates an understanding of program evaluation methods and reflects a practical, technically sound approach to assessing the project's achievement of program objectives. A workplan should be included to indicate the extent and nature of the involvement of a local State college or university in this effort.

In making grant award decisions the Director of OAPP will take into account the extent to which grants approved for funding will provide an appropriate distribution of resources throughout the country taking into consideration such things as the following factors:

1. The priorities in Sec. 2005(a) of Title XX of the Public Health Service Act
2. The geographic area to be served
3. The community commitment to and involvement in the planning and implementation of the demonstration project
4. The nature of the organization applying
5. The population to be served
6. The organizational models for delivery of service
7. The usefulness for policy makers and service providers of the proposed project and its potential for complementing existing AFL demonstration models.

The care and prevention components of combination projects will be reviewed separately, and it is possible that only one component of a proposed combination project would be funded.

period for which support is being given, and the amount of support contributed by the grantee to project costs.

Dated: May 17, 1984

Marjory Mecklenburg

Marjory E. Mecklenburg
Deputy Assistant Secretary for Population Affairs

Announcement of Availability of Grants for
Adolescent Family Life Demonstration Projects

AGENCY: Office of Adolescent Pregnancy Programs, PHS, HHS.

ACTION: Notice.

SUMMARY: This is to announce the availability of grant funds for the Adolescent Family Life Demonstration Grants Program for the states and territories listed below. These grants are for demonstration projects which test new approaches to providing care services for pregnant adolescents and adolescent parents or prevention services to reach adolescents before they become sexually active, as authorized by Title XX of the Public Health Service Act (42 U.S.C. 300z, et seq.).

ADDRESS: Application kits may be obtained from and applications must be submitted to: Grants Management Office, Office of Adolescent Pregnancy Programs, OPA, Room 1351, HHS North Building, 330 Independence Avenue, S.W. Washington, D.C. 20201.

DATE: Applications must be postmarked or received at the above address no later than July 10, 1984.

FOR FURTHER INFORMATION CONTACT: Donald Underwood, Grants Management Officer, at (202) 245-0146, or Barbara Rosengard, Program Development Specialist, at (202) 245-7473. They are available to answer questions and provide limited technical assistance in the preparation of grant applications.

SUPPLEMENTAL INFORMATION: Title XX of the Public Health Service Act, 42 U.S.C. 300z, et seq. authorizes the Secretary of Health and Human Services to award grants for demonstration projects to provide services to pregnant and nonpregnant adolescents, adolescent parents and their families. (Catalog of Federal Domestic Assistance Number 13.995). This notice announces the availability of approximately \$600,000 in funding for such projects, which will be made available for care and prevention projects in certain designated states and areas as set forth below. It is anticipated that 4 to 6 projects will be funded pursuant to this announcement with the average award being \$100,000 while ranging between \$50,000 and \$150,000. Grants may be approved for project periods of up to 5 years but funded in annual increments (budget periods). Funding for all approved budget periods beyond the first year of the grant is contingent upon satisfactory progress of the project, adequate stewardship of Federal funds and availability of funds. A grant award may not exceed 70% of the costs of the project for the first and

prevention services only (i.e., services to prevent adolescent sexual activity); and (3) projects which provide a combination of care and prevention services.

However, in this program notice we do not propose to consider or fund any combination projects. The specific services (termed "necessary services") which may be funded under Title XX are the following:

- (1) Pregnancy testing and maternity counseling;
- (2) Adoption counseling and referral services which present adoption as an option for pregnant adolescents, including referral to licensed adoption agencies in the community if the eligible grant recipient is not a licensed adoption agency;
- (3) Primary and preventive health services including prenatal and postnatal care;
- (4) Nutrition information and counseling;
- (5) Referral for screening and treatment of venereal disease;
- (6) Referral to appropriate pediatric care;
- (7) Educational services relating to family life and problems associated with adolescent premarital sexual relations, including:
 - (a) Information about adoption;
 - (b) Education on the responsibilities of sexuality and parenting;
 - (c) The development of material to support the role of parents as the provider of sex education; and
 - (d) Assistance to parents, schools, youth agencies, and health providers to educate adolescents and preadolescents concerning self-discipline and responsibility in human sexuality;
- (8) Appropriate educational and vocational services and referral to such services;
- (9) Referral to licensed residential care or maternity home services;
- (10) Mental health services and referral to mental health services and to other appropriate physical health services;
- (11) Child care sufficient to enable the adolescent parent to continue education or to enter into employment;
education and homemaking
the immediate and extended family members of the

ces to families of adolescents to discourage sexual relations
ed minors; (and)
services.* * * (Sec. 2002(a)(4))*

services" which are "core services." (Sec. 2002(a)(5)). In accordance with sec. 2002(b), the regulations promulgated under Title VI of the Health Services and Centers Amendments of 1978 must presently be used to determine which of the above services are core services. Accordingly, the services described in subparagraphs (1), (2), (3), (4), (5), (6), and (7) above are core services. In addition, the referrals described by subparagraphs (8) and (10) are also core services. The services described in subparagraph (16) are core services when suitable and appropriate family planning services are not otherwise available in the community.

Eligible Applicants

Any public or private nonprofit organization or agency is eligible to apply for a grant if the organization or agency demonstrates "in the case of an organization which will provide care services, the capability of providing all core services in a single setting or the capability of creating a network through which all core services would be provided; or * * * in the case of an organization which will provide prevention services, the capability of providing such services" (Sec. 2002(a)(3)).

As this is a demonstration program, OAPP is interested in testing a variety of ways to deliver services and various combinations of services in order to achieve the objectives of the legislation. In order to complement existing models, the OAPP encourages the submission of applications from volunteer organizations, i.e., those organizations that provide services primarily by volunteers rather than by paid staff. The Office also welcomes applications from organizations, volunteer or otherwise, that provide alternate living arrangements, such as maternity homes, family settings for an individual or a small group, or other types of temporary shelters for pregnant adolescents and/or adolescent parents and their children. Other innovative proposals which test methods of service delivery or specialized services are also welcome.

The July 21, 1981 report of the Senate Committee on Labor and Human Resources, which accompanied the Senate Bill (S.1090) proposing the Adolescent Family Life Demonstration Grants Program, stated that one of the reasons the new legislation was necessary was to provide the states with workable models of comprehensive programs. The report further states that "without a functioning demonstration project operating within a state, public officials will not have the opportunity to examine this innovative approach to a serious problem confronting State and local governments." S. Rep. No. 97-161 at 9. Accordingly, only entities from those states which will not have an Adolescent Family Life Demonstration project operating after September 30, 1984, will be eligible to apply under this announcement. The states are Alaska, Arkansas, Delaware, Iowa, Maine, Mississippi, Montana, Nevada, North Dakota, Oklahoma, South Dakota and

are required to submit an application signed by an individual authorized to act for the applicant agency or organization and to assume for that organization the obligations imposed by the terms and conditions of the grant award. Applicants are required to submit an original application and two copies.

A copy of the legislation governing this program and proposed rules will be sent to applicants as part of the application kit package. Applicants will be expected to revise their applications to comply with any regulations issued. In the interim, applicants should use the legislation, and the proposed regulations to guide them in developing their applications. All applicants should review and must comply with the requirements for applications in 2006(a). Awards will be made only to those applicants who have met all applicable statutory requirements.

In an attempt to encourage the development and submission of applications to complement those program models currently being tested, OAPP will consider applications providing care or prevention services only, but not a combination of care and prevention services.

Applicants should in particular provide the following:

- (1) A description of the objectives, models and strategies for delivering services and expected results. (Care programs should describe services to be delivered before and after the baby's birth and should delineate the length of time after the baby's birth that clients will participate in program services.)
- (2) A description of innovative approaches, as appropriate, for encouraging and supporting the involvement of families, and private and public organizations and voluntary associations in the provision of services.
- (3) A description of the target groups to be served, client recruitment methods, selection criteria, case management and follow-up procedures.
- (4) The numbers and types of clients expected to be served.
- (5) Provision for the statutory evaluation requirements.

Care Programs - Under the statute the purpose of care programs is to establish innovative, comprehensive, and integrated approaches to the delivery of care services for pregnant adolescents, with primary emphasis on unmarried adolescents who are seventeen years of age or under, and for adolescent parents, which shall be based upon an assessment of existing programs and, where appropriate, upon efforts to establish better coordination, integration, and linkages

- parents, including the adolescent father; and
- (3) provision of services after delivery. (This is the continuation of necessary services to clients until adolescent parents have become or are well on their way to becoming "productive independent contributors to family and community life" and their children are developing normally physically, intellectually, and emotionally. Proposals should specify the services to be provided, the means of identifying clients' need for services, and the system for tracking clients for a period of at least two years following delivery.)

Prevention Programs The purpose of prevention programs is to find an effective means, within the context of the family, of reaching adolescents before they become sexually active in order to maximize the guidance and support available to adolescents from parents and other family members, and to promote self-discipline and other prudent approaches to the problem of adolescent premarital sexual relations, including adolescent pregnancy.

In order to complement existing program models, OAPP will consider only those prevention programs which prepare parents to support and educate their children to postpone sexual activity. Only activities which clearly focus on helping parents teach postponement to their children will be funded.

Evaluation

Each grantee receiving funds for a services demonstration project is required to expend between one and five percent of the grant award on program evaluation. (See sec. 2006(b)). While the statute allows waiver of the 5% limit on evaluation (see Section 2006(b)(1)), waivers are rarely granted. Therefore, applicants who anticipate evaluation costs in excess of the limit should exhaust all possible alternative sources of funds before considering requesting a waiver for an evaluation amount in excess of 5%. Applicants should provide a plan for meeting the evaluation requirement, describing in detail measures of program performance, data collection methods, and a plan for analyzing the data. Applicants should provide evidence of consultation or other arrangements with a college or university located in the applicant's State.

Additional Requirements

In addition to the above, applicants for grants must meet the following requirements:

- 1) Requirements for Review of an Application for Grant

the application to the Governor of the State in which the applicant is located at the same time the application is submitted to OAPP. To inform the Governor's office of the reason for the submission, a copy of this notice should be attached to the application. The Governor has sixty days in which to provide comments to the applicant.

The applicant must provide a copy of the comments or verification that there were no comments to the above address by September 17, 1984.

(2) Review Under Executive Order 12372

Applications under this announcement are subject to the review requirements of Executive Order 12372, State Review of Applications for Federal Financial Assistance, as implemented by 45CFR100. As soon as possible, applicants should discuss their project/s with the State Single Point of Contact (SPOC) for each State in the area to be served. The application kit contains the currently available listing of the SPOCs which have elected to be informed of the submission of applications. For those States not represented on the listing, further inquiries should be made by the applicant regarding the submission to the relevant SPOC. The SPOCs comment/s should be forwarded to the Grants Management Office, Office of Population Affairs, Room 1351, HHS North Building, 330 Independence Avenue, S.W., Washington, D.C. 20201. Such comments must be received by the Office of Population Affairs by September 17, 1984 to be considered. In the event that an application is submitted to the Office of Population Affairs without notification to the SPOC, the SPOC will be notified of the submission.

(3) Health Systems Agency (HSA) Review.

In order to comply with the HSA review requirements under section 1513(e) of the Public Health Service Act, 42 U.S.C. 3001-2(e), as amended, applicants must contact the HSA responsible for the area to be served by the proposed project to determine whether or not the HSA desires to review the application. If so, a copy of the application must be submitted to the HSA for review no later than July 10, 1984. Applicants are advised to contact the local HSA as soon as a decision is made to apply for a grant for detailed information on meeting this review requirement.

Application Consideration and Assessment

Applications which are judged to be late or which do not conform to the requirements of this program announcement will not be accepted for review. Applicants will be so notified, and the applications will be returned.

All other applications will be subjected to a competitive review and assessment.

3. The applicant's presentation of the project's objectives, the methods for achieving project objectives, the workplan and the results or benefits expected.
4. The applicant's documentation of the innovativeness of the program approach, its worth for testing and replication, and its suitability to measurement and evaluation.
5. The estimated cost of the project to the government is reasonable considering the anticipated results.
6. The applicant's detailed evaluation plan indicates an understanding of program evaluation methods and reflects a practical, technically sound approach to assessing the project's achievement of program objectives. A workplan should be included to indicate the extent and nature of the involvement of a local State college or university in this effort.

In making grant award decisions the Director of OAPP will take into account the extent to which grants approved for funding will provide an appropriate distribution of resources throughout the country taking into consideration such things as the following factors:

1. The priorities in Sec. 2005(a) of Title XX of the Public Health Service Act
2. The geographic area to be served
3. The community commitment to and involvement in the planning and implementation of the demonstration project
4. The nature of the organization applying
5. The population to be served
6. The organizational models for delivery of service
7. The usefulness for policy makers and service providers of the proposed project and its potential for complementing existing AFL demonstration models.

When final funding decisions have been made, all applicants will be notified by letter of the outcome of their applications. The official document notifying an applicant that a project application has been approved for funding is the Notice of Grant Award, which specifies to the grantee the amount of money awarded, the purpose of the grant, the terms and conditions of the grant award, the budget period for which support is being given, and the amount of funding to be contributed by the grantee to project costs.

Dated: May 17, 1984

Mayjory Mickelburg

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Populations

A Resource Guide

